

Goldstone's theorem and localisation of Dirac eigenmodes in gauge theories

Matteo Giordano

Eötvös Loránd University (ELTE)
Budapest

“New Trends in Thermal Phases of QCD”
Vila Lanna, Bubeneč, Prague

15th April 2023

Based on [arXiv:2009.00486](#)
[arXiv:2110.12250](#)
[arXiv:2206.11109](#)

Localisation alternative to Goldstone's theorem

Localisation can invalidate the conclusions of Goldstone's theorem and remove massless excitations from the spectrum

- Known for a long time in condensed matter physics
[McKane, Stone (1981)]
- Rediscovered in zero-temperature lattice QCD in an unphysical regime (Aoki phase of Wilson fermions in quenched QCD)
[Golterman, Shamir (2003)]
- Zero and finite temperature QCD in the chiral limit: a finite density of near-zero Dirac modes breaks chiral symmetry but there are no massless excitations if they are localised
[MG (2021), MG (2021), MG (2022)]

Goldstone's theorem

In a relativistic field theory, if there are

- charge Q generated by conserved local current J^μ

$$Q_V = \int_V d^3x J^0(x)$$

- spontaneous symmetry breaking due to a nonzero order parameter

$$i \lim_{V \rightarrow \infty} \langle 0 | [Q_V, A] | 0 \rangle \neq 0$$

then there are massless particle in the spectrum:

$$\int d^4x e^{-ip \cdot x} \langle 0 | J^0(x) A | 0 \rangle = a \delta(p^2) + \text{non-singular}, \quad a \neq 0$$

[Goldstone, Salam, Weinberg (1962), Strocchi (2008)]

Application: pions in QCD

Generalised QCD Lagrangian: N_g gluons (gauge fields) B_μ^a and N_f quarks (fermion fields) $\psi_f, \bar{\psi}_f$

$$\mathcal{L}_{\text{QCD}} = -\frac{1}{4}F_{\mu\nu}^a F^{a\mu\nu} + \bar{\psi}(i\not{D} - M)\psi \quad M = \text{diag}(m_1, \dots, m_{N_f})$$

$$F_{\mu\nu}^a = \partial_\mu B_\nu^a - \partial_\nu B_\mu^a - gf^{abc}B_\mu^b B_\nu^c \quad \not{D} = \gamma^\mu D_\mu = \gamma^\mu(\partial_\mu + igB_\mu^a T^a)$$

T^a : generators of gauge group
 f^{abc} : structure constants

Vector flavour symmetry $\text{SU}(N_f)_V$: for $M = m\mathbf{1}$, invariance under

$$\psi \rightarrow U\psi, \quad \bar{\psi} \rightarrow \bar{\psi}U^\dagger \quad U \in \text{SU}(N_f)$$

Chiral symmetry: for $m = 0$, $\text{SU}(N_f)_V$ enhanced to $\text{SU}(N_f)_L \times \text{SU}(N_f)_R$

$$\bar{\psi}\not{D}\psi = \bar{\psi}_L\not{D}\psi_L + \bar{\psi}_R\not{D}\psi_R, \quad \psi_{R,L} = \frac{1 \pm \gamma^5}{2}\psi, \quad \bar{\psi}_{R,L} = \bar{\psi}\frac{1 \mp \gamma^5}{2}$$

Application: pions in QCD II

Conserved currents: non-singlet vector and axial vector currents

$$V^{a\mu} = \bar{\psi}\gamma^\mu t^a \psi, \quad A^{a\mu} = \bar{\psi}\gamma^\mu \gamma^5 t^a \psi, \quad 1 \leq a \leq N_f^2 - 1$$

t^a : generators of $SU(N_f)$, $2\text{tr} t^a t^b = \delta^{ab}$

Spontaneous breaking of chiral symmetry

$$SU(N_f)_L \times SU(N_f)_R \rightarrow SU(N_f)_V$$

Order parameter: (pseudo)scalar densities

$$P^a = \bar{\psi}\gamma^5 t^a \psi \quad S = \bar{\psi}\psi$$

$$\langle 0|[Q_5^a, P^b]|0\rangle = \frac{1}{N_f}\delta^{ab}\langle 0|S|0\rangle = \Sigma\delta^{ab}, \quad \Sigma \neq 0$$

$$\Rightarrow \int d^4x e^{-ip \cdot x} \langle 0|A^{a0}(x)P^b(0)|0\rangle \propto \Sigma\delta^{ab}\delta(p^2)$$

$$Q_5^a = \int d^3x A^{a0}$$

$\Rightarrow N_f^2 - 1$ massless pseudoscalar particles in the spectrum (pions)

Functional integral quantisation

- Nonperturbative physics requires nonperturbative approaches
- Explicit construction of quantum field operators generally unknown outside of perturbation theory
- Operators constructed implicitly through their correlation functions in the functional integral approach

Wick rotation to imaginary time: $x^0 \rightarrow -ix_{E4}$, $x^j \rightarrow x_{Ej}$ (same for B^μ , $i\gamma^\mu$)

$$S[B, \psi, \bar{\psi}] = \int d^4x \left\{ \frac{1}{4} F_{\mu\nu}^a F_{\mu\nu}^a + \bar{\psi}(\not{D} + M)\psi \right\} = S_G[B] + S_F[B, \psi, \bar{\psi}]$$

$$\langle \mathcal{O}[B, \psi, \bar{\psi}] \rangle = Z^{-1} \int \mathcal{D}B \mathcal{D}\psi \mathcal{D}\bar{\psi} e^{-S[B, \psi, \bar{\psi}]} \mathcal{O}[B, \psi, \bar{\psi}]$$

$$= Z^{-1} \int \mathcal{D}B e^{-S_G[B]} \det(\not{D}[B] + m) \tilde{\mathcal{O}}[B]$$

$$Z = \int \mathcal{D}B e^{-S[B, \psi, \bar{\psi}]} \det(\not{D}[B] + m)$$

Functional-integral proof of Goldstone's theorem

Ward-Takahashi identities (WTI): making an infinitesimal symmetry transformation promoted from global to local, for any observable $\mathcal{O}$

$$\left\langle \frac{\delta S}{\delta \epsilon(x)} \mathcal{O} \right\rangle = \left\langle \frac{\delta \mathcal{O}}{\delta \epsilon(x)} \right\rangle$$

if integration measure is invariant

WTI for axial transformations (opposite rotation of L and R) at finite m

$$-\langle \partial_\mu A_\mu^a(x) P^b(0) \rangle + 2m \langle P^a(x) P^b(0) \rangle = \frac{1}{N_f} \delta^{(4)}(x) \delta^{ab} \langle S(0) \rangle$$

Symmetric, possibly spontaneously broken massless theory obtained as the limit $m \rightarrow 0$ of the explicitly broken massive one

Proof via Euclidean Ward-Takahashi identities

In momentum space, using unbroken $SU(N_f)_V$

$$ip_\mu \mathcal{G}_{AP\mu}(p) + 2m \mathcal{G}_{PP}(p) = \Sigma$$

$$\mathcal{G}_{AP\mu}(p) \delta^{ab} = \int d^4x e^{-ip \cdot x} \langle A_\mu^a(x) P^b(0) \rangle$$

$$\mathcal{G}_{PP}(p) \delta^{ab} = \int d^4x e^{-ip \cdot x} \langle P^a(x) P^b(0) \rangle$$

$$\langle S(0) \rangle = N_f \langle (\bar{\psi}_f \psi_f)(0) \rangle = N_f \Sigma$$

Chiral limit $\Rightarrow \mathcal{G}_{PP}(p)$ term drops

$$ip_\mu \mathcal{G}_{AP\mu}(p) = \Sigma$$

$O(4)$ invariance $\Rightarrow \mathcal{G}_{AP\mu}(p) = p_\mu g_{AP}(p^2)$

$$ip^2 g_{AP}(p^2) = \Sigma$$

$\Rightarrow$ massless pole in $\mathcal{G}_{AP\mu}(p)$ if $\Sigma \neq 0$

$\Rightarrow$ massless particle

Goldstone's theorem at finite temperature

Goldstone's theorem at finite T : if there are

- charge Q generated by conserved local current J^μ

$$Q_V = \int_V d^3x J^0(x)$$

- nonzero order parameter

$$i \lim_{V \rightarrow \infty} \langle\langle [Q_V, A] \rangle\rangle_\beta \neq 0, \quad \langle\langle A \rangle\rangle_\beta = \frac{\text{Tr } e^{-\beta H} A}{\text{Tr } e^{-\beta H}}, \quad \beta = \frac{1}{T}$$

then there are massless quasi-particles in the spectrum (excitations with vanishing energy and infinite lifetime at zero momentum):

$$\lim_{\vec{k} \rightarrow 0} i \varrho_{J^0 A}(\omega, \vec{k}) = a \delta(\omega) + \text{non-singular}, \quad a \neq 0$$

$$\varrho_{J^0 A}(\omega, \vec{k}) = \int d^4x e^{-i(\omega t - \vec{p} \cdot \vec{x})} \langle\langle [J^0(t, \vec{x}), A] \rangle\rangle_\beta \quad (\text{spectral function})$$

[Lange (1965), Strocchi (2008)]

Functional integral formulation of finite temperature QFT

Compactify temporal direction to size $\beta = 1/T$

$$S_\beta[B, \psi, \bar{\psi}] = \int_\beta d^4x \left\{ \frac{1}{4} F_{\mu\nu}^a F_{\mu\nu}^a + \bar{\psi}(\not{D} + M)\psi \right\} \quad \int_\beta d^4x = \int_0^\beta dt \int d^3x$$

Impose periodic/antiperiodic BC on bosonic/fermionic fields

$$\int_\beta \mathcal{D}B \mathcal{D}\psi \mathcal{D}\bar{\psi} = \int_{B(\beta)=B(0)} \mathcal{D}B \int_{\psi(\beta)=-\psi(0)} \mathcal{D}\psi \int_{\bar{\psi}(\beta)=-\bar{\psi}(0)} \mathcal{D}\bar{\psi}$$

Replace expectation values $\langle \bullet \rangle \rightarrow$ thermal expectation values $\langle \bullet \rangle_\beta$

$$\langle\langle T \left(\prod_j \mathcal{O}_j(x_j) \right) \rangle\rangle_\beta = Z_\beta^{-1} \int_\beta \mathcal{D}B \mathcal{D}\psi \mathcal{D}\bar{\psi} e^{-S_\beta[B, \psi, \bar{\psi}]} \prod_j \mathcal{O}_j[B, \psi, \bar{\psi}; x_j]$$

$$= Z_\beta^{-1} \int_\beta \mathcal{D}B e^{-S_{\beta G}[B]} \det(\not{D}[B] + m) \prod_j \tilde{\mathcal{O}}_j[B; x_j] = \langle \prod_j \mathcal{O}_j(x_j) \rangle_\beta$$

$$Z_\beta = \int_\beta \mathcal{D}B e^{-S_{\beta G}[B]} \det(\not{D}[B] + m)$$

Proof via Euclidean Ward-Takahashi identities at $T \neq 0$

WTI still hold at finite T

$$-\langle \partial_\mu A_\mu^a(x) P^b(0) \rangle_\beta + 2m \langle P^a(x) P^b(0) \rangle_\beta = \frac{1}{N_f} \delta^{(4)}(x) \delta^{ab} \langle S(0) \rangle_\beta$$

Temporal momentum quantised to Matsubara frequencies $\omega_n = \frac{2\pi n}{\beta}$

$$\begin{aligned} i\vec{p} \cdot \vec{\mathcal{G}}_{AP}(0, \vec{p}) + 2m \mathcal{G}_{PP}(0, \vec{p}) &= \Sigma & n = 0 \\ i\omega_n \mathcal{G}_{AP4}(\omega_n, \vec{p}) + i\vec{p} \cdot \vec{\mathcal{G}}_{AP}(\omega_n, \vec{p}) + 2m \mathcal{G}_{PP}(\omega_n, \vec{p}) &= \Sigma & n \neq 0 \end{aligned}$$

$$\mathcal{G}_{PP}(\omega_n, \vec{p}) \delta^{ab} = \int_\beta d^4x e^{-i(\omega_n t - \vec{p} \cdot \vec{x})} \langle P^a(x) P^b(0) \rangle_\beta \text{ etc.}$$

O(3) invariance $\vec{\mathcal{G}}_{AP}(\omega_n, \vec{p}) = \vec{p} g_n(\vec{p}^2)$, taking the chiral limit

$$i\vec{p}^2 g_0(\vec{p}^2) = \Sigma \Rightarrow \text{massless pole in } \vec{\mathcal{G}}_{AP}(0, \vec{p}) \text{ if } \Sigma \neq 0$$

... but this $\nRightarrow$ massless particle *a priori*

Proof via Euclidean Ward-Takahashi identities at $T \neq 0$ II

Minkowskian theory (real time) reconstructed from Euclidean theory (imaginary time) by reversing Wick's rotation

In Minkowski space $[A_\mu^a(x), P^b(0)] = 0$ if $x^2 < 0$ (relativistic locality)

Necessary condition on Euclidean correlators for relativistic locality in the reconstructed Minkowskian theory ("regularity condition"):

$$\lim_{\vec{p} \rightarrow 0} \vec{p} \cdot \vec{\mathcal{G}}_{AP}(\omega_n, \vec{p}) = 0 \quad \text{for } n \neq 0$$

From WTI in the chiral limit

$$i\omega_n \mathcal{G}_{AP4}(\omega_n, \vec{p}) + i\vec{p} \cdot \vec{\mathcal{G}}_{AP}(\omega_n, \vec{p}) = \Sigma$$

+ regularity condition

$$G(\omega_n) \equiv \lim_{\vec{p} \rightarrow 0} \lim_{m \rightarrow 0} \mathcal{G}_{AP4}(\omega_n, \vec{p}) = \frac{\Sigma}{i\omega_n} \quad \text{for } n \neq 0$$

$G(0) = 0$ since $G(-\omega_n) = -G(\omega_n)$
from time reflection symmetry

Proof via Euclidean Ward-Takahashi identities at $T \neq 0$ III

Spectral function from Euclidean correlators via analytic interpolation
(unique in upper/lower complex half-plane by Carlson's theorem)

$$i\varrho_{A^a0P^a}(\omega, \vec{p}) = \overline{\mathcal{G}}_{AP^4}(\omega_n \rightarrow \epsilon - i\omega, \vec{p}) - \overline{\mathcal{G}}_{AP^4}(\omega_n \rightarrow -\epsilon - i\omega, \vec{p})$$

$$\begin{aligned} \lim_{\vec{p} \rightarrow 0} \lim_{m \rightarrow 0} i\varrho_{A^a0P^a}(\omega, \vec{p}) &= \overline{\mathcal{G}}(\omega_n \rightarrow \epsilon - i\omega) - \overline{\mathcal{G}}(\omega_n \rightarrow -\epsilon - i\omega) \\ &= \Sigma \left(\frac{1}{\omega + i\epsilon} - \frac{1}{\omega - i\epsilon} \right) = -2\pi i \Sigma \delta(\omega) \end{aligned}$$

Massless pseudoparticles if $\Sigma \neq 0$ (coincides with presence of a pole at zero in $\vec{\mathcal{G}}_{AP}(0, \vec{p})$, after all) [MG (2020), MG (2022)]

- Proof in the Euclidean functional-integral formalism bypasses explicit reconstruction of operators and check of current conservation
- Massless theory approached from $m \neq 0$ (selects vacuum in case of χ SSB), proof can be adapted if something goes wrong as $m \rightarrow 0$

Refinement of Goldstone's theorem

What could possibly go wrong? Implicit assumption: $\mathcal{G}_{PP}$ regular in the chiral limit, $2m\mathcal{G}_{PP} \rightarrow 0$, but what if $\mathcal{G}_{PP} \propto 1/m$, and so $2m\mathcal{G}_{PP} \not\rightarrow 0$?

Define:

$$\text{zero } T: \quad \lim_{m \rightarrow 0} 2m\mathcal{G}_{PP}(p) = \mathcal{R}(p)$$

$$\text{finite } T: \quad \lim_{\vec{p} \rightarrow 0} \lim_{m \rightarrow 0} 2m\mathcal{G}_{PP}(\omega_n, \vec{p}) = \mathcal{R}(\omega_n)$$

Generalised Goldstone's theorem:

$$T = 0 \quad ip_\mu \mathcal{G}_{AP\mu}(p) = [\Sigma - \mathcal{R}(0)]$$

$$T \neq 0 \quad \lim_{\vec{p} \rightarrow 0} \lim_{m \rightarrow 0} -\frac{\varrho_{A^a 0 P^a}(\omega, \vec{p})}{2\pi} = [\Sigma - \overline{\mathcal{R}}(0^+)]\delta(\omega) = [\Sigma - \mathcal{R}(0)]\delta(\omega)$$

No transport peak expected in the pseudoscalar channel, i.e., no term $\propto \omega\delta(\omega)$ in ϱ_{PP} [Burnier *et al.* (2017)] $\Rightarrow \overline{\mathcal{R}}(0^+) = \mathcal{R}(0)$

Massless excitations present only if $\Sigma - \mathcal{R}(0)$ or $\Sigma - \mathcal{R}(0)$ are nonzero

Refinement of Goldstone's theorem II

- Goldstone theorem evaded: non-singlet axial current not conserved, “anomalous” remnant ($\mathcal{R}, R \sim m \cdot \frac{1}{m}$) not a contact term, breaks symmetry explicitly also in the chiral limit
- Fate of Goldstone excitations depends on $\Sigma - \mathcal{R}(0)$ or $\Sigma - R(0)$, balance between spontaneous and explicit breaking

Is it possible to have nonzero $\mathcal{R}(0)$ or $R(0)$?

Yes, in the presence of a finite density of localised near-zero Dirac modes

Not happening at $T = 0$ and low T , near-zero Dirac modes are dense but delocalised

Crossover to approximately chirally restored phase at $T_c \approx 155 \text{ MeV}$

[Borsányi *et al.* (2010), Bazavov *et al.* (2016)], what happens above T_c ?

Localised and delocalised Modes

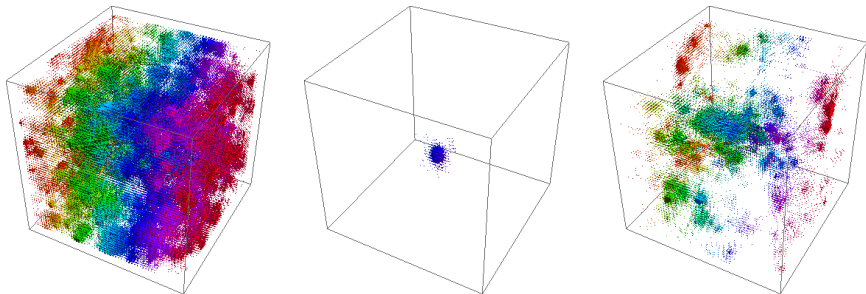


Figure from [Ujfalusi et al. (2015)]

Eigenmodes ψ of some Hamiltonian

- Delocalised mode: extends throughout the system $\rightarrow$
 $\|\psi(x)\|^2 \sim 1/V$, supported everywhere
- Localised mode: is confined in a finite region R_0 of size $V_0 \rightarrow$
 $\|\psi(x)\|^2 \sim 1/V_0$ within R_0 , zero outside
- Critical mode: nontrivial fractal dimension $\rightarrow$
 $\|\psi(x)\|^2 \sim 1/V^\alpha$, support has size V^α

Inverse participation ratio measures the inverse of the size of a mode

$$\text{IPR}_n = \int d^4x \|\psi_n(x)\|^4 \quad \text{PR}_n = \frac{\text{IPR}_n^{-1}}{V}$$

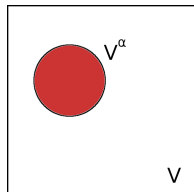
Random Hamiltonians, average over disorder locally in the spectrum

$$\overline{\text{IPR}}(\lambda, V) = \frac{\langle \sum_n \delta(\lambda - \lambda_n) \text{IPR}_n \rangle}{\langle \sum_n \delta(\lambda - \lambda_n) \rangle}$$

Typical mode:

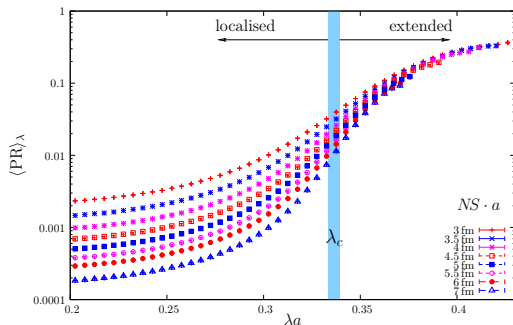
$$\text{IPR}_n \sim \underbrace{V^{-2\alpha}}_{\|\psi_n(0)\|^4} \times \underbrace{V^\alpha}_{\text{size of support}} \sim V^{-\alpha}$$

$$\lim_{V \rightarrow \infty} \overline{\text{IPR}}(\lambda, V) \rightarrow \begin{cases} \frac{1}{V} \rightarrow 0 & \text{delocalised} \\ \frac{1}{V^\alpha} \rightarrow 0 & \text{critical} \\ \neq 0 & \text{localised} \end{cases}$$



Localised Dirac eigenmodes at finite temperature

$-i\not{D}$ in a gauge background is a random Hamiltonian



2+1 QCD at $T = 394$ MeV
Data from [MG *et al.* (2014)]

- low Dirac modes localised in deconfined phase, delocalised in confined phase
[García-García, Osborn (2007), Kovács, Pittler (2012), . . . , Giordano, Kovács (2021)]
- features analogous to condensed matter systems: Anderson transition, multifractality at mobility edge λ_c [MG *et al.* (2014), Ujfalusi *et al.* (2015)]

Localised near-zero modes

Density of near-zero modes $\rho(0^+) =$ order parameter for chiral symmetry in the chiral limit, approximate order parameter at finite mass

$$-\Sigma = \int_0^\infty d\lambda \rho(\lambda) \frac{2m}{\lambda^2 + m^2} \xrightarrow{m \rightarrow 0} \pi \rho(0^+), \quad \rho(\lambda) = \lim_{V \rightarrow \infty} \underbrace{\frac{1}{V} \langle \sum_n \delta(\lambda - \lambda_n) \rangle}_{\rho_V(\lambda)}$$

Density of low modes drops above $T_c \approx$ approximate chiral restoration

Localised modes appear at T_c when transition is sharp: pure gauge

[Kovács, Vig (2018, 2020), MG (2019), Bonati *et al.* (2021), Baranka, MG (2021, 2022)],
QCD at $\mu = i\pi$ [Cardinali *et al.* (2022)] $\approx$ “order parameter” for deconfinement

Suggests that low Dirac modes connect chiral restoration ($\sim$ decrease in spectral density) and deconfinement ($\sim$ localisation)

With dynamical quarks the transition is generally a crossover, becomes sharp in the chiral limit - what happens to localised near-zero modes?

$$\text{If } \rho_{\text{loc}}(0) \neq 0 \text{ when } m \rightarrow 0 \Rightarrow R(0) \neq 0$$

Localised near-zero modes II

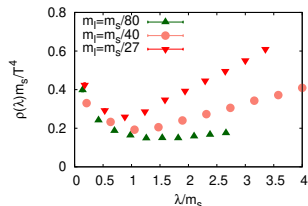
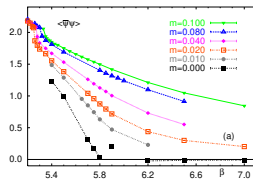
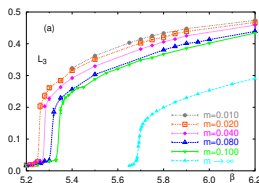


Figure from [Kaczmarek et al. (2021)]



Figures from [Karsch and Lütgemeier (1999)]

$N_f = 2 + 1$ QCD (overlap on HISQ): peak of localised near-zero modes for $m_l \gtrsim m_l^{\text{ph}}$ at $1.05 T_{pc}$ [Dick et al. (2015)]

$m_l < m_l^{\text{ph}}$, ov/HISQ [Kaczmarek et al. (2021)]; $m_l \gtrsim m_l^{\text{ph}}$, $1.3 T_{pc}$, HISQ [Ding et al. (2021)]; $m_l = m_l^{\text{ph}}$, $1.07, 1.1 T_{pc}$, HISQ [Kaczmarek et al. (2023)]; $m_l = m_l^{\text{ph}}$, $1.5 T_{pc}$, stout [C. Bonanno]; localisation properties unknown

$\Rightarrow$ Does the peak survive $m_l \rightarrow 0$? Are peak modes localised?

$N_f = 2$ massless adjoint quarks: intermediate chirally broken ($\rho(0^+) \neq 0$) but deconfined phase [Karsch and Lütgemeier (1999)]

$\Rightarrow$ Are near-zero modes localised?

Pseudoscalar correlator

IR, $1/m$ divergence in pseudoscalar correlator (continuum):

- expand bare correlator in Dirac modes $\not{D}\psi_n = i\lambda_n\psi_n$

$$-\langle P_B^a(x)P_B^b(0) \rangle = \frac{\delta^{ab}}{2} \left\langle \sum_{n,n'} \frac{\mathcal{O}_{n'n}^{\gamma_5}(x)\mathcal{O}_{nn'}^{\gamma_5}(0)}{(i\lambda_n + m_B)(i\lambda_{n'} + m_B)} \right\rangle = \delta^{ab}\Pi_B(x)$$

$$\mathcal{O}_{nn'}^{\Gamma}(x) \equiv (\psi_n(x), \Gamma\psi_{n'}(x))$$

- renormalise

$$\Pi(x) = Z_m^2[\Pi_B(x) - \Pi^{\text{add. div.}}(x)] \quad m = Z_m^{-1}m_B \quad \lambda_n^R = Z_m^{-1}\lambda_n$$

- correlation functions of eigenmodes are renormalised, finite quantities

$$C^{\Gamma}(\lambda; m; x) \equiv \lim_{V \rightarrow \infty} \left\langle \sum'_{n,n'} \delta(\lambda - \lambda_n^R) \mathcal{O}_{nn}^{\Gamma}(x) \mathcal{O}_{nn}^{\Gamma}(0) \right\rangle$$

$$C_2^{\Gamma}(\lambda, \lambda'; m; x) \equiv \lim_{V \rightarrow \infty} \left\langle \sum'_{n,n'} \delta(\lambda - \lambda_n^R) \delta(\lambda' - \lambda_{n'}^R) \mathcal{O}_{n'n}^{\Gamma}(x) \mathcal{O}_{nn'}^{\Gamma}(0) \right\rangle$$

$\sum'$: nonzero modes
 $\Gamma = 1, \gamma_5$

Large V

$$\lim_{m \rightarrow 0} 2m\Pi(x) = 2 \lim_{m \rightarrow 0} \int_0^{\frac{\mu}{m}} dz \left(\frac{C^1(mz; m; x)}{z^2 + 1} + \frac{(1 - z^2)C^{\gamma_5}(mz; m; x)}{(z^2 + 1)^2} \right)$$

Zero modes negligible as $V \rightarrow \infty$
 μ arbitrary, should drop from final result
 $|\lambda^R| > \mu$ and C_2^Γ negligible as $m \rightarrow 0$

Large- V behaviour of $C_V^\Gamma = \langle \sum_n' \delta(\lambda - \lambda_n^R) \mathcal{O}_{nn}^\Gamma(x) \mathcal{O}_{nn}^\Gamma(0) \rangle$

$$\begin{aligned} |\langle \mathcal{O}_{nn}^\Gamma(x) \mathcal{O}_{nn}^\Gamma(0) \rangle| &\leq \langle \|\psi_n(x)\|^2 \|\psi_n(0)\|^2 \rangle \leq \langle \|\psi_n(0)\|^4 \rangle \\ &= \frac{T}{V} \left\langle \int_\beta d^4x \|\psi_n(x)\|^4 \right\rangle = \frac{T}{V} \langle \text{IPR}_n \rangle \end{aligned}$$

$$|C_V^\Gamma(\lambda; m; x)| \leq \frac{T}{V} \sum_n' \langle \delta(\lambda - \lambda_n^R) \text{IPR}_n \rangle = \rho_V(\lambda) \overline{\text{IPR}}(\lambda, V) \sim \rho(\lambda) V^{-\alpha(\lambda)}$$

$C_V^\Gamma \rightarrow 0$ unless $\alpha = 0 \Rightarrow$ only localised modes contribute

$$C^\Gamma = \lim_{V \rightarrow \infty} C_V^\Gamma = C_{\text{loc}}^\Gamma$$

Anomalous remnant

Assume near-zero localised modes in $\lambda \in [0, \lambda_c(m)] \Rightarrow C_{\text{loc}}^\Gamma, \rho_{\text{loc}} \neq 0$

For localised modes $\int_\beta d^4x$ and the various limits are expected to commute

$$-R(0) = \int_\beta d^4x \lim_{m \rightarrow 0} 2m\Pi(x) = \pi\xi \rho_{\text{loc}}(0) \quad \xi \equiv \lim_{m \rightarrow 0} \frac{2}{\pi} \arctan \frac{\lambda_c(m)}{m}$$

Ratio $\frac{\lambda_c(m)}{m}$ is renormalisation group invariant [Kovács, Pittler (2012), MG (2022)]

Localised and delocalised modes usually do not coexist, $\rho_{\text{loc}} = \rho$ or 0

$$\varrho_{A^0 P^0}(\omega, \vec{p} = 0)|_{\text{sing}} = -2\pi[\Sigma - R(0)]\delta(\omega) = 2\pi^2 [\rho(0) - \xi\rho_{\text{loc}}(0)]\delta(\omega)$$

- 1 no near-zero localised modes, $\rho_{\text{loc}}(0) = 0$, or $\rho_{\text{loc}}(0) \neq 0$ but $\xi = 0$
 $\Rightarrow$ Goldstone excitations present if $\rho(0) \neq 0$ (standard scenario)
- 2 near-zero localised modes, $\rho_{\text{loc}}(0) \neq 0$, and $0 < \xi < 1$
 $\Rightarrow$ Goldstone excitations present (but modified “residue”)
- 3 near-zero localised modes, $\rho_{\text{loc}}(0) \neq 0$, and $\xi = 1$ (e.g., $\lambda_c(0) \neq 0$)
 $\Rightarrow$ Goldstone excitations disappear

Summary and outlook

In the presence of a finite density of near-zero **localised** Dirac modes

- pseudoscalar correlator diverges $\sim 1/m$ (unless $\frac{\lambda_c}{m} \rightarrow 0$)
- axial nonsinglet WTI in the chiral limit modified by “anomalous” remnant, current **not** conserved $\Rightarrow$ Goldstone’s theorem evaded
- spectral function modified, Goldstone excitations disappear if $\frac{\lambda_c}{m} \rightarrow \infty$ (modified residue if $\frac{\lambda_c}{m} \rightarrow \text{finite}$)

Open issues:

- Is there an explicit realisation of the non-standard scenarios?
 - ▶ Intermediate phase of $N_f = 2$ massless adjoint quarks?
 - ▶ Peak in 2+1 QCD in the chiral limit?
- Phenomenological consequences?



References

- ▶ A. McKane and M. Stone, Ann. Phys. **131** (1981) 36
- ▶ M. Golterman and Y. Shamir, Phys. Rev. D **68** (2003) 074501
- ▶ M. Giordano, J. Phys. A **54** (2021) 37
- ▶ M. Giordano, PoS LATTICE2021 (2022) 401
- ▶ M. Giordano, JHEP **12** (2022) 103
- ▶ J. Goldstone, A. Salam and S. Weinberg, Phys. Rev. **127** (1962) 965
- ▶ F. Strocchi, “Symmetry Breaking” (Springer-Verlag, 2008)
- ▶ R. Lange, Phys. Rev. Lett. **14** (1965) 3
- ▶ Y. Burnier *et al.*, JHEP **11** (2017) 206
- ▶ S. Borsányi *et al.*, JHEP **09** (2010) 073
- ▶ A. Bazavov *et al.*, Phys. Rev. D **93** (2016) 114502
- ▶ L. Ujfalusi, M. Giordano, F. Pittler, T. G. Kovács and I. Varga, Phys. Rev. D **92** (2015) 094513
- ▶ A. M. García-García and J. C. Osborn, Phys. Rev. D **75** (2007) 034503
- ▶ T. G. Kovács and F. Pittler, Phys. Rev. D **86** (2012) 114515
- ▶ M. Giordano and T. G. Kovács, Universe **7** (2021) 194
- ▶ M. Giordano, T. G. Kovács and F. Pittler, Phys. Rev. Lett. **112** (2014) 102002
- ▶ T. G. Kovács and R. Á. Vig, Phys. Rev. D **97** (2018) 014502
- ▶ R. Á. Vig and T. G. Kovács, Phys. Rev. D **101** (2020) 094511
- ▶ M. Giordano, JHEP **05** (2019) 204
- ▶ C. Bonati, M. Cardinali, M. D’Elia, M. Giordano and F. Mazzioni, Phys. Rev. D **103** (2021) 034506
- ▶ G. Baranka and M. Giordano, Phys. Rev. D **104** (2021) 054513
- ▶ G. Baranka and M. Giordano, Phys. Rev. D **106** (2022) 094508
- ▶ M. Cardinali, M. D’Elia, F. Garosi and M. Giordano, Phys. Rev. D **105** (2022) 014506
- ▶ V. Dick, F. Karsch, E. Laermann, S. Mukherjee and S. Sharma, Phys. Rev. D **91** (2015) 094504
- ▶ O. Kaczmarek, L. Mazur and S. Sharma, Phys. Rev. D **104** (2021) 094518
- ▶ H.-T. Ding, S.-T. Li, S. Mukherjee, A. Tomiya and X.-D. Wang, Phys. Rev. Lett. **126** (2021) 082001
- ▶ O. Kaczmarek, R. Shanker and S. Sharma, arXiv:2301.11610 [hep-lat]
- ▶ F. Karsch and M. Lütgemeier, Nucl. Phys. B **550** (1999) 449