

# Bound states in the continuum as missing states in Darboux-deformed free particle systems

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**COFAA**

COMISIÓN DE OPERACIÓN Y FOMENTO  
DE ACTIVIDADES ACADÉMICAS DEL  
INSTITUTO POLITÉCNICO NACIONAL

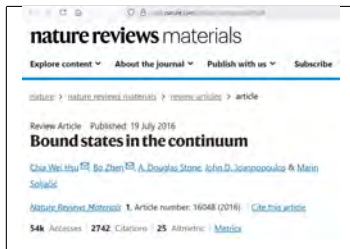


Nuclear Physics  
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# Introduction



von Neumann and Wigner



Their very existence defies conventional wisdom. Although BICs were first proposed in quantum mechanics, they are a general wave phenomenon and have since been identified in electromagnetic waves, acoustic waves in air, water waves and elastic waves in solids.

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<sup>1</sup> Mielnik B., and Rosas-Ortiz O. 2009, *Quantum Mechanical Laws*. Eolss Publishers, Oxford, UK. A chapter of Fundamental of Physics - Volume I in Encyclopedia of Life Support Systems. 255-326

<sup>2</sup> Hsu, C. W., Zhen, B., Stone, A. D., Joannopoulos, J. D., & Soljačić, M. (2016). Bound states in the continuum. *Nature Reviews Materials*, 1(9), 1-13.

<sup>3</sup> M. Kurino, K. Takayanagi. General Theory of Constructing Potential with Bound States in Continuum. *Progress of*

# Darboux transformation and exactly solvable systems in Quantum Mechanics

## Initial system

$$\hat{H}_0 \psi_n = E_n \psi_n$$

$$\hat{H}_0 = -\frac{d^2}{dx^2} + V_0(x)$$

## Transformations

$$V_0(x) \rightarrow V_1(x) = V_0(x) - 2 \frac{d^2}{dx^2} \ln[\psi_\epsilon(x)]$$

$$\psi_n(x) \rightarrow \psi_n^{(1)}(x) = \frac{W(\psi_\epsilon(x), \psi_n(x))}{\psi_\epsilon(x)}, \quad \psi_\epsilon(x) \neq 0.$$

## Deformed system

$$\hat{H}_1 \psi_n^{(1)} = E_n \psi_n^{(1)}$$

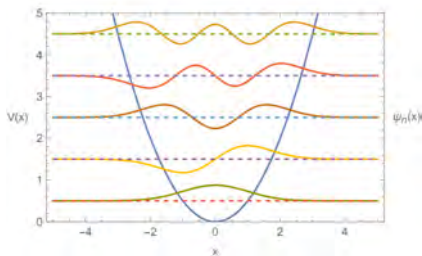
$$\hat{H}_1 = -\frac{d^2}{dx^2} + V_1(x)$$

# First Order Darboux Transformation of Harmonic Oscillator

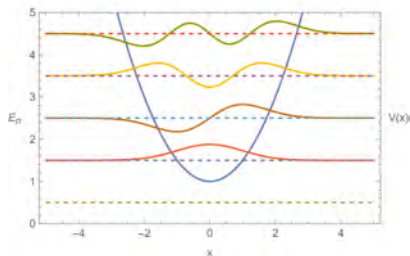
Physical function as seed function (ground state as seed function)

$$V_0(x) = \frac{1}{2}x^2 \rightarrow V_1(x) = V_0(x) - 2\frac{d^2}{dx^2} \ln[\psi_0(x)] = \frac{1}{2}x^2 + 1$$

$$\psi_n(x) \rightarrow \psi_n^{(1)}(x) = \frac{W(\psi_0(x), \psi_n(x))}{\psi_0(x)}, n = 0, 1, 2, \dots$$



F3. Initial system



F4. Deformed system by means of the ground state

There are NO missing states

# First Order Darboux Transformation

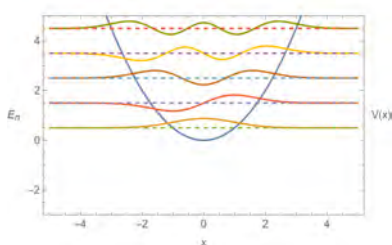
Non-physical seed function (above the ground state)

General solution of harmonic oscillator

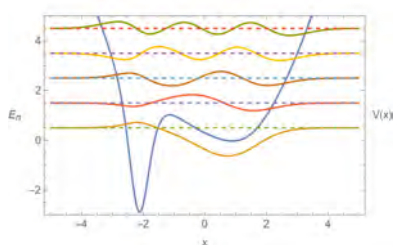
$$\psi_n(x; E; A; B) = \left[ A_1 F_1\left(\frac{1-2E}{4}; \frac{1}{2}; x^2\right) + B x_1 F_1\left(\frac{3-2E}{4}; \frac{3}{2}; x^2\right) \right] e^{-\frac{x^2}{2}}$$

Seed function

$$\psi_\epsilon\left(x; -\frac{7}{20}; 1; 1\right)$$



F1. Initial system



F2. Deformed system using a non-physical solution as seed function

There are a missing state  $\psi_0(x)$

# Crum Theorem

## Initial system

$$\left[ -\frac{d^2}{dx^2} + V_0(x) \right] \psi_n(x) = E_n \psi_n(x),$$

## N-th transformations

$$V_0(x) \rightarrow V_N(x) = V_0(x) - 2 \frac{d^2}{dx^2} \ln \{ W[\psi_{\epsilon_1}(x), \psi_{\epsilon_2}(x), \dots, \psi_{\epsilon_N}(x)] \},$$

$$\psi_n(x) \rightarrow \psi_n^{(N)}(x) = \frac{W[\psi_{\epsilon_1}(x), \psi_{\epsilon_2}(x), \dots, \psi_{\epsilon_N}(x), \psi_n(x)]}{W[\psi_{\epsilon_1}(x), \psi_{\epsilon_2}(x), \dots, \psi_{\epsilon_N}(x)]}, \quad W(\psi_{\epsilon_1}, \psi_{\epsilon_2}) \neq 0.$$

## Deformed system

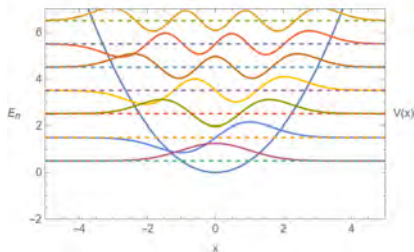
$$\left[ -\frac{d^2}{dx^2} + V_N(x) \right] \psi_n^{(N)} = E_n \psi_n^{(N)}$$

# Second Order Darboux Transformation

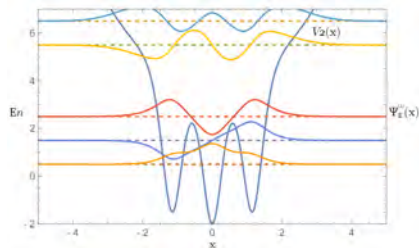
## Bound States as Seed Functions

$$V_0(x) \rightarrow V_2(x) = V_0(x) - 2 \frac{d^2}{dx^2} \ln \{ W[\psi_{\epsilon_1}(x), \psi_{\epsilon_2}(x)] \},$$

$$\psi_n^{(2)}(x) = \frac{W[\psi_{\epsilon_1}(x), \psi_{\epsilon_2}(x), \psi_n(x)]}{W[\psi_{\epsilon_1}(x), \psi_{\epsilon_2}(x)]}, \quad W(\psi_{\epsilon_1}, \psi_{\epsilon_2}) \neq 0.$$



F15. Deformed system with 2nd and 3rd excited states



F16. Deformed system with 3rd and 4th excited states

There are NO missing states<sup>6</sup>

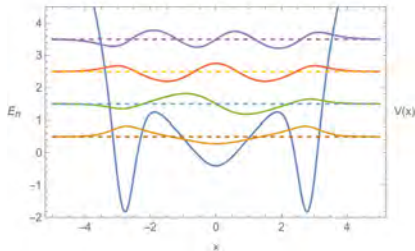
<sup>6</sup> M. G. Krein, DAN SSSR 112 1057 (1970)

# Second Order Darboux Transformation

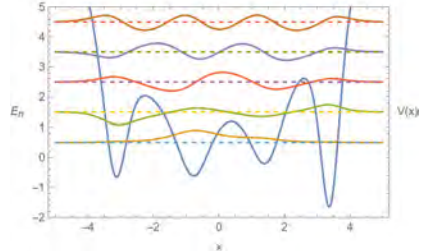
Non-physical seed functions (below the ground state or between two bound states)

$$V_0(x) \rightarrow V_2(x) = V_0(x) - 2 \frac{d^2}{dx^2} \ln \{ W[\psi_{\epsilon_1}(x), \psi_{\epsilon_2}(x)] \},$$

$$\psi_n^{(2)}(x) = \frac{W[\psi_{\epsilon_1}(x), \psi_{\epsilon_2}(x), \psi_n(x)]}{W[\psi_{\epsilon_1}(x), \psi_{\epsilon_2}(x)]}, \quad W(\psi_{\epsilon_1}, \psi_{\epsilon_2}) \neq 0.$$



F5. Deformed system with non-physical s. f. below the ground state.



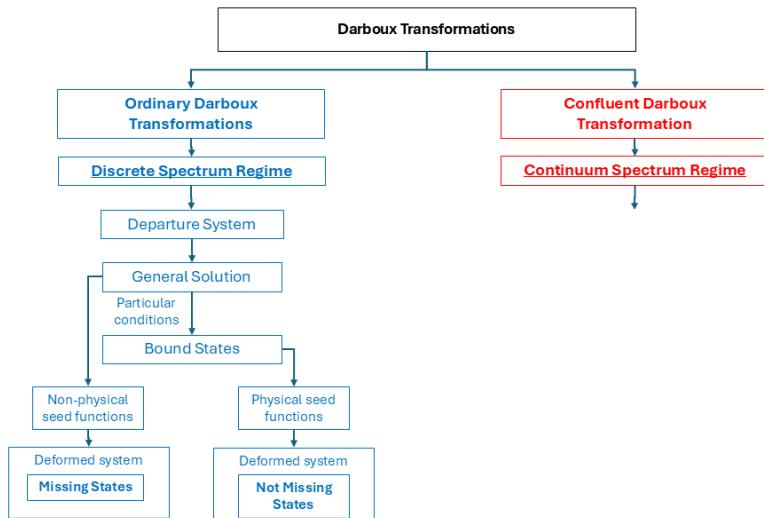
F6. Deformed system with non-physical s. f. between two bound states.

There are two missing states<sup>7</sup>

<sup>7</sup> Boris F. Samsonov, Physics Letters A 263 (1999) 274-280



# Schematic description of the work



# Confluent Darboux Transformation

## Second Order Ordinary Darboux Transformation

$$V_2(x) = V_0(x) - 2 \frac{d^2}{dx^2} \ln[\psi_\epsilon(x)],$$

$$\psi_n^{(2)}(x) = \frac{W(\psi_\epsilon(x), \psi_n(x))}{\psi_\epsilon(x)}, \quad \psi_\epsilon(x) \neq 0.$$

### Consideration on seed functions

$$\psi_1 = \psi(x, q)$$

$$\psi_2 = \lim_{\alpha \rightarrow 0} \psi(x, q + \alpha)$$

## Confluent Darboux Transformation

$$V_2(x) = -2 \frac{d^2}{dx^2} \ln[W(\psi, \partial_q \psi)],$$

$$\psi_k^{(2)} = \frac{W(\psi, \partial_q \psi, \psi_k)}{W(\psi, \partial_q \psi)}.$$

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<sup>8</sup>Stahlhofen, A. A. (1995). Completely transparent potentials for the Schrödinger equation. Physical Review A, 51(2), 934;

<sup>9</sup>B. Mielnik, L. M. Nieto, & Rosas-Ortiz (2000). The finite difference algorithm for higher order supersymmetry. Physics

# Confluent Darboux Transformation

## Darboux-deformed free particle system

### Departure system

$$\frac{d^2}{dx^2} \psi_k(x) + k^2 \psi_k(x) = 0, \text{ with: } k^2 = \frac{2mE_k}{\hbar^2}.$$

### Seed function for a fixed $k^2 = q^2$

$$\varphi(x, q) = \sin[qx + \delta(q)]$$

### Deformed potential

$$V_2(x) = 32q^2 \frac{[\sin(qx + \delta) - q(x + \gamma_0) \cos(qx + \delta)] \sin(qx + \delta)}{[\sin 2(qx + \delta) - 2q(x + \gamma_0)]^2}, \text{ with } \gamma_0 = \partial_q \delta$$

### Asymptotic behavior of von Neumann-Wigner type potentials

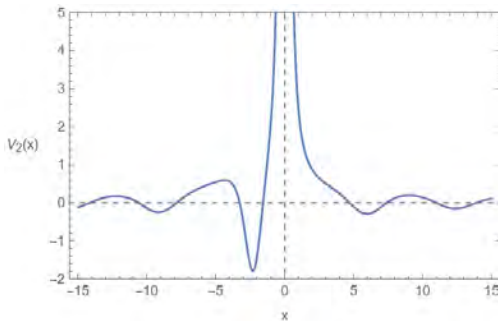
$$V_2(x) = 4q \frac{\sin 2(qx + \delta)}{x} + O\left(\frac{1}{x^2}\right)$$

# Confluent Darboux Transformation

## Darboux-deformed free particle system

### Deformed potential

$$V_2(x) = 32q^2 \frac{[\sin(qx + \delta) - q(x + \gamma_0) \cos(qx + \delta)] \sin(qx + \delta)}{[\sin^2(qx + \delta) - 2q(x + \gamma_0)]^2}, \text{ with } \gamma_0 = \partial_q \delta$$



F17. Family of deformed potentials  $V_2(x)$  with the singularity located at  $x = 0$ , with  $q = \frac{1}{2}$ ,  $\gamma_0 = 1$ , and  $\delta = \frac{\pi}{4} + n$ .

# Radial potentials with spherical symmetry and $l = 0$

## Wave function

$$\Psi(\vec{r}, t) = e^{-i\frac{E}{\hbar}t} Y_l^m(\theta, \phi) \frac{\varphi(r)}{r}$$

## Reduced radial Schrödinger equation

$$-\frac{\hbar^2}{2m} \frac{d^2}{dr^2} \varphi_k(r) + V(r) \varphi_k(r) = E_k \varphi_k(r)$$

## Additional asymptotic condition

$$\varphi(0) = 0$$

## Free particle

$$\frac{d^2}{dx^2} \varphi_k(x) + k^2 \varphi_k(x) = 0, \text{ with } k^2 = \frac{2mE_k}{\hbar^2}$$

Parametric seed function for a fixed  $k^2 = q^2$

$$\varphi_q(x) = \sin[qx + \delta(q)]$$

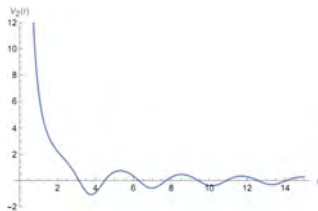


Fig. 18.  $q = 1$ ,  $\gamma_0 = 0$ ,  $\delta = \pm n\pi$

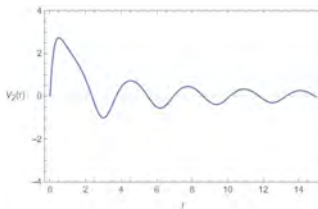


Fig. 19.  $q = 1$ ,  $\gamma_0 = 1$ ,  $\delta = \left(\frac{1}{4} + n\right) \pi$

# Radial potentials with spherical symmetry and $l = 0$

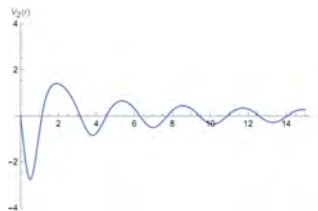


Fig. 18.  $q = 1$ ,  $\gamma_0 = 1$ ,  $\delta = \pm n\pi$

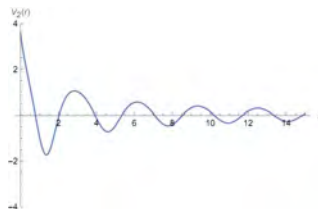


Fig. 19.  $\delta = \left(-\frac{1}{4} + n\right)\pi$ ,  $q = 1$ ,  $\gamma_0 = 1$ .

Parametric seed function associated with  
 $k^2 = q^2$

$$\varphi_q(x) = \sin[qx + \delta(q)]$$

Asymptotic behavior

$$V_2(r) \sim -4q \frac{\sin 2(qr + \delta)}{r}$$

von Neumann-Wigner potentials

$$V(r) \sim a \frac{\sin br}{r}$$

If  $|a| > |b|$ , thus  $k^2 = \frac{b^2}{4}$

$$k^2 = q^2$$

# Radial potentials with spherical symmetry and $l = 0$

## Deformed functions

General solution of initial system:

$$\varphi_k(r) = c_1 e^{ikr} + c_2 e^{-ikr}$$

Initial functions

$$\varphi^\pm(k, r) = e^{\pm ikr}$$

Deformed functions

$$\varphi^\pm(k, r) = \frac{-(k^2 + q^2) \sin[2(qr + \delta)] + 2q(k^2 - q^2)(r + \gamma_0) \pm 4ikq \sin^2(qr + \delta)}{\sin[2(qr + \delta)] - 2q(r + \gamma_0)} e^{\pm ikr}$$

Asymptotic behavior

$$\varphi_k^{(2)\pm}(r) \sim -(k^2 - q^2) e^{\pm ikr}$$

Jost solution

$$f^\pm(k, r) = \left\{ 1 + 4q \frac{[q \cos(qr + \delta) \mp ik \sin(qr + \delta)] \sin(qr + \delta)}{(k^2 - q^2) [\sin 2(qr + \delta) - 2q(r + \gamma_0)]} \right\} e^{\pm ikr}, \quad k \neq q$$

## Radial potentials with spherical symmetry and $l = 0$

## Deformed functions

## General Scattering solution

$$\varphi_s(k, r) = Af^-(k, r) + Bf^+(k, r).$$

Imposing  $\varphi_s(k, 0) = 0$ , we have that

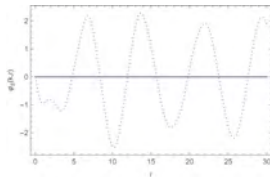
$$B = -A \frac{f^-(k, 0)}{f^+(k, 0)},$$

Regular scattering solution:

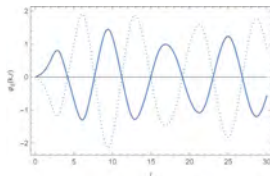
$$\varphi_s(k, r) = A \left[ f^-(k, r) - \frac{f^-(k, 0)}{f^+(k, 0)} f^+(k, r) \right],$$

$$k \neq q.$$

MISSING STATE



F20. RSS:  $q = 1, k = 0.8, \gamma_0 = \delta = n\pi, \gamma_0 = 1$



F21. RSS:  $q = 1, k = 0.8, \gamma_0 = 1,$   
 $\delta = \left(\frac{1}{4} \pm n\right) \pi, n = 0, 1, 2, \dots$

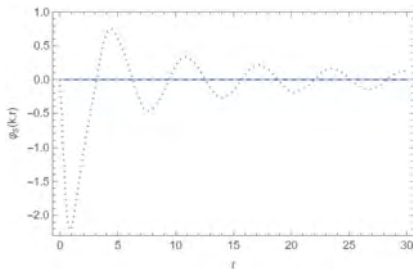


# Limit of the Regular Scattering Solution

## Bound State in the Continuum

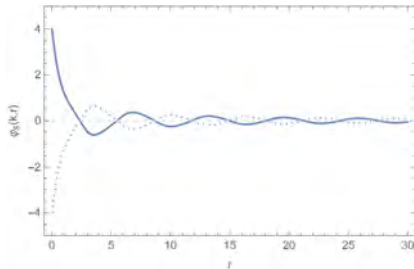
When  $k \rightarrow q$  we get

$$\lim_{k \rightarrow q} \varphi_s(k, r) = 4iA \frac{q\gamma_0 \sin(qr + \delta)}{\sin 2(qr + \delta) - 2q(r + \gamma_0)} e^{i\delta}$$



F22.  $q = 1, \gamma_0 = 1, \delta = n\pi$

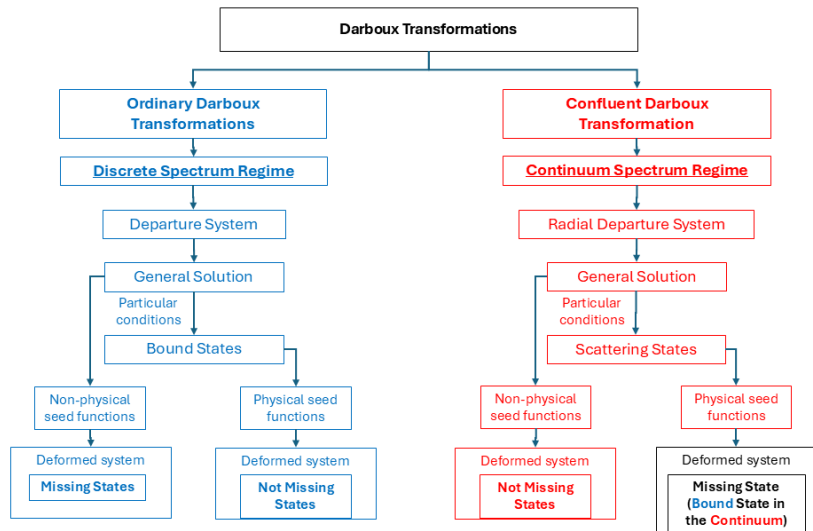
Physical solution  
MISSING STATE



F23.  $q = 1, \gamma_0 = 1, \delta = \left(\frac{1}{4} \pm n\right) \pi$

Non-physical solution  
NOT MISSING STATE

# Schematic description of the beginning of this work



Thanks for your attention.  
Special grateful to



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